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(72)

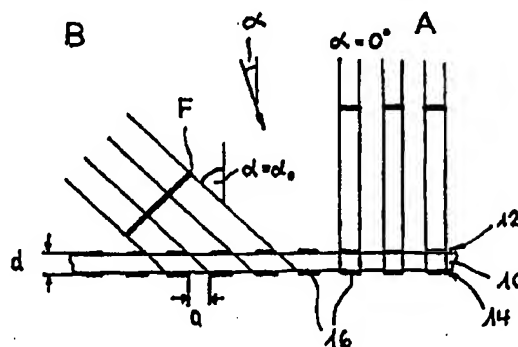
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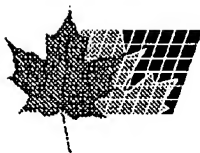
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SWABEY OGILVY RENAULT(54) **OBJET AVEC EFFET OPTIQUE**(54) **OBJECT WITH AN OPTICAL EFFECT**

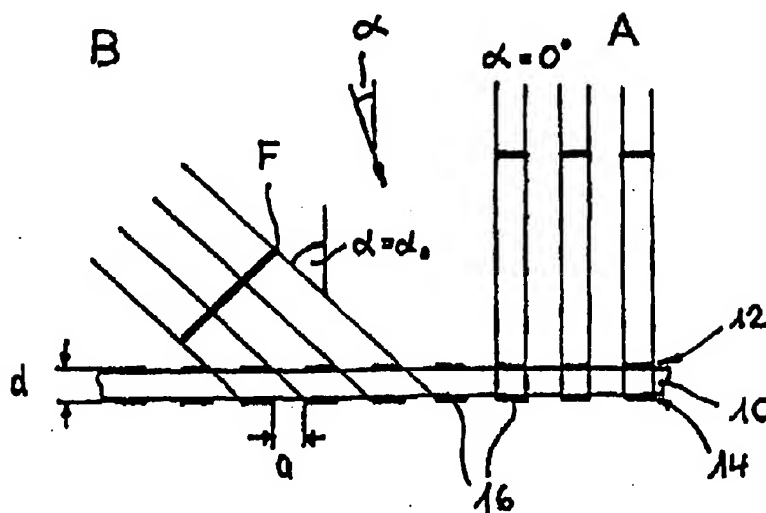
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The invention relates to an object comprising a surface region which generates an optical effect. The surface region having the optical effect comprises at least two image patterns (12, 14) which are maintained at a distance (d) from one another by a transparent material layer (10). The distance (d) between the image patterns (12, 14) and the distance (a) between adjacent image elements (16) underlying the image patterns (12, 14) are adapted to one another in such a way that the optical perception of the entire image generated by the superimposition of the image patterns (12, 14) changes when the viewing angle (α) is altered. A preferred application of the inventive object is in the form of a packaging, a packing material, a packing auxiliary means, a bond paper or an entrance ticket having a counterfeit-proof and/or optically appealing surface-region.





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(54) OBJET AVEC EFFET OPTIQUE
(54) OBJECT WITH AN OPTICAL EFFECT



(57) L'invention concerne un objet comportant une zone superficielle produisant un effet optique. Cette zone superficielle à effet optique présente au moins deux motifs (12, 14) maintenus à distance (t) l'un de l'autre par une couche de matière transparente (10). La distance (d) séparant les motifs (12, 14) et la distance (a) séparant deux éléments d'image (16) à l'origine de la formation des motifs (12, 14) sont mutuellement adaptées de telle sorte qu'en cas de modification de l'angle d'observation (α), la perception optique de l'image complète produite par superposition des motifs (12, 14) se modifie. Un mode de réalisation préférentiel de cet objet se présente sous la forme d'un emballage, d'un matériau d'emballage, d'un moyen auxiliaire d'emballage, d'un papier-valeur ou d'une carte d'entrée présentant une zone superficielle ne pouvant pas subir de falsifications et/ou optiquement sensible.

(57) The invention relates to an object comprising a surface region which generates an optical effect. The surface region having the optical effect comprises at least two image patterns (12, 14) which are maintained at a distance (d) from one another by a transparent material layer (10). The distance (d) between the image patterns (12, 14) and the distance (a) between adjacent image elements (16) underlying the image patterns (12, 14) are adapted to one another in such a way that the optical perception of the entire image generated by the superimposition of the image patterns (12, 14) changes when the viewing angle (α) is altered. A preferred application of the inventive object is in the form of a packaging, a packing material, a packing auxiliary means, a bond paper or an entrance ticket having a counterfeit-proof and/or optically appealing surface region.





PCT
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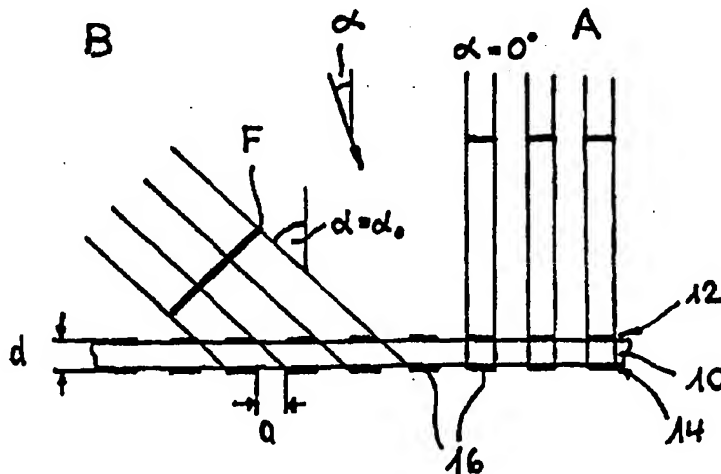
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(54) Title: **OBJECT WITH AN OPTICAL EFFECT**

(54) Bezeichnung: **GEGENSTAND MIT OPTISCHEM EFFEKT**

(57) Abstract

The invention relates to an object comprising a surface region which generates an optical effect. The surface region having the optical effect comprises at least two image patterns (12, 14) which are maintained at a distance (d) from one another by a transparent material layer (10). The distance (d) between the image patterns (12, 14) and the distance (a) between adjacent image elements (16) underlying the image patterns (12, 14) are adapted to one another in such a way that the optical perception of the entire image generated by the superimposition of the image patterns (12, 14) changes when the viewing angle (α) is altered. A preferred application of the inventive object is in the form of a packaging, a packing material, a packing auxiliary means, a bond paper or an entrance ticket having a counterfeit-proof and/or optically appealing surface region.



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Object Exhibiting an Optical Effect

The invention relates to an object with a surface region that generates an optical effect with ~~a-view-to-increasing proof against counterfeit.~~ Also within the scope of the invention is a
 5 process for manufacturing the object and the use thereof.

Proof against counterfeit in packaging is of great importance especially for the pharmaceutical industry. Basically, however, in other branches of industry there is a wish for counterfeit-proof packaging or products, in particular in the consumer industry, e.g. the
 10 packaging of food-stuffs, cosmetic objects, clothes, software and music CDs or bonds. The object with the surface region generating the optical effect may be packaging, packaging material, an aid to packaging or a product itself on which a surface region is provided with a security part e.g. in the form of a label bearing an optical effect.

15 Counterfeit-proof packaging or an aid to packaging may serve as guarantee of origin, enabling the customer to recognise that the product purchased was actually manufactured and packaged by the desired manufacturer. Counterfeit-proof packaging aids may also be used as guarantee of first opening, e.g. in the form of a label, band or sealing strip etc., which has for example been affixed over a bottle closure or over a closure on a wide-necked
 20 glass, across a seam between a lid and a container or over the tear-off closure on a pouch. On opening the packaging in question the label, band or sealing strip is broken, demonstrating that the package has already been opened. It is also possible to place or enclose objects in an outer packaging bearing characteristic, unmistakable features that cannot be copied, showing that the packaging of the contents has been performed by a particular
 25 supplier.

Known counterfeit-proof packaging and products are provided with holograms on their surface or they exhibit colour coding or invisible features. Methods that have proved themselves in practice include e.g. holograms. Examples are hologram labels or foil lids with
 30 integral holograms. Manufacturing holograms, however, involves enormous expenditure.

Disclosed in the patent publication US-A-4662653 is an object having a surface region featuring a transparent layer of material incorporating an image-pattern that is delimited on one side by a reflecting layer. The reflected image of the pattern forms a second image-
 35 pattern, the distance between these images being determined by the thickness of the layer of material. On changing the angle of viewing a change in the perceived image occurs due to

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overlapping of the individual elements of the image. The distances between the individual image elements or between image pattern and reflecting layer are dimensioned such that the optical effect is caused by the diffraction of light.

- 5 Also patent publication US-A-4632430 discloses objects featuring a surface region generating an optical effect whereby two image-patterns are maintained a distance apart by a transparent layer of material and are such that on changing the angle of viewing the image perceived changes due to overlapping of the patterns. This effect which occurs only with transmitted light is caused by simple overlapping of the shadow areas of both image-
10 patterns.

An object known from EP-A-0348583 contains a base area with a line pattern. A transparent film is provided with a second line pattern. The transparent film with the second line pattern is partially affixed to the base area, such that on moving the object the distance between the
15 base area and the transparent film varies to a differing degree. Under particular distance conditions a kind of "dynamic" moiré pattern is seen by the observer.

- In WO-A-97/19820 an object is disclosed featuring an optical effect on its surface which is the result of the arrangement of two image patterns that are separated by a transparent film.
20 The patterns formed by diffraction appearing on a microscopic line pattern lead to a moiré interference effects as a result of overlapping.

Known from WO-A-98/15418 is a bond which when folded features a surface region with an optical effect produced as a result of moiré interference. Each image pattern is deposited
25 on a transparent film, whereby the two images are at no specified distance from each other; instead the images are positioned free from each other. The optical effect is produced only when the images are placed one upon the other on folding the paper.

- Known from DE-A-3120653 are so called moiré strain gauges. The two image patterns
30 (lined films) are not arranged a fixed distance from each other, but instead free from each other.

The object of the invention is therefore to provide at least a part of the surface of objects such as packaging, packaging materials, packaging aids or products themselves with an

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optical effect that can be produced in a cost favourable manner, but cannot be forged in a simple manner.

That objective is achieved by way of an object with the characteristics described in claim 1.

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The invention makes use of a phenomenon known as the moiré effect. Moiré patterns are two dimensional images that result from the interference of two overlapping patterns. By displacing two immediately overlapping patterns, the moiré interference pattern also changes, leading to the known, changing light-dark image effects.

10

The present invention makes use of the changing moiré interference that occurs without mechanical displacement of the pattern. As a result of the mutual distance between the images, a spatial arrangement results, which on changing the angle of viewing leads to changing moiré interference effects. By using reflecting materials it is possible, in the region
15 of the angle of reflection, to observe a reflected image of one of the images instead of moiré interference patterns, while moiré interference occurs outside the range of the angle of reflection. The essential core of the invention lies therefore in the preparation of a three dimensional moiré pattern.

20 In order to create the effect according to the invention, the already known distances between neighbouring image elements in the moiré pattern have to be maintained. The said patterns may be made up of individual parallel lines or dots. In the simplest case of a line pattern the distance between neighbouring lines is always the same. In a simple arrangement two identical image patterns are arranged directly over each other and a distance from each
25 other. It is possible, however, to displace regions of one image pattern with respect to the other image pattern e.g. by half the distance between neighbouring image elements, or to provide the first image with another pattern with the result that, on changing the angle of viewing, for example several changes from lightness to darkness and vice versa are observed. Of course images may also contain a combination of straight and curved lines or
30 other image elements. As a result it is e.g. possible to incorporate trade names and the like signs with three dimensional moiré effects in the packaging material. In order to increase the protection against counterfeit further, one of the images may contain an additional structure to that of the pattern of lines. Another means of increasing protection against counterfeit and to conceal data is to design the image in such a manner that the additional information can
35 be seen only after an additional filter has been superimposed on the image. Such filters are

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made up of a grid-type pattern, the dimensions of which are chosen to suit the dimensions of the line pattern.

The transparent layer of material that acts as a spacer between the images serves as a means
5 of setting the desired minimum distance. This cannot be changed if the patterns are mounted immediately on the different sides or planes of the transparent material layer.

The layer of transparent material may be provided with an image on both sides. Another version is such that the layer of transparent material is made up of at least two partial layers
10 and the images are deposited on the different partial layers. In a further version one of the images is deposited on an opaque foil e.g. an aluminium foil.

Instead of two images being deposited, one image may be the mirror image of the other. In this case one side of the layer of transparent material borders on the reflecting layer e.g. an
15 aluminium foil with a highly reflective finish.

The images may be deposited in the form of coloured printed patterns. The printed image may be monochromatic or multi-coloured. As additional security one may employ colours that make the moiré pattern visible only under UV or IR light. Another possibility is for at
20 least one image to be in the form of a surface structure with structural elements displaced with respect to their height e.g. as an embossed or etched pattern. Embossed or etched structures are suitable e.g. for depositing images on an aluminium foil. Embossed patterns may also be deposited on plastic or aluminium/plastic laminates using hot and/or cold embossing. Foils or laminates with an image pattern in the form of a surface structure are especially
25 suitable for the production of tube laminates e.g. for manufacturing toothpaste tubes.

The images may also be created in the form of micro-perforations on an opaque foil, especially on an aluminium foil. The diameter or breadth of the perforations is thereby about 10 to 1000 μm , preferably 50 to 200 μm .

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The images may also include basic matrix elements with individual image elements, the related image elements of different basic matrices being displaced with respect to each other in order to produce different local light-dark contrast or colours. The image preferably form patterns that produce defined light-dark contrast or colours as a result of various combinations of superposition. As these kind of images require extremely good matching of the
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super-imposed basic matrices to produce a good effect, this kind of image is required mainly in applications requiring a high degree of security against counterfeit.

The objects according to the invention are manufactured using known methods. The image
5 may be deposited e.g. by simultaneously printing on both sides of a transparent plastic film. The individual images may however also be printed on different films. The individual films, each bearing a printed image, are then joined by laminating them together to provide a packaging material. The proof against counterfeit is increased even further in that the laminating has to be performed on a machine with high precision control of the printing
10 process.

The object according to the invention may e.g. be any packaging material in the form of a packaging material or aid to packaging exhibiting a transparent layer of material upon which, additionally according to the invention, images have been deposited. The packaging
15 material may be rigid, semi-rigid or flexible and may have a given shape or in particular be a foil-shaped material. Examples of shaped bodies are blown, deep-drawn and/or stretch drawn or deepened shapes such as bottles, wide-neck containers, beakers, dishes or bases of push-through packs or blister packs. Examples of foil-shaped materials are metal foils such as aluminium, steel, copper, silver or gold foils. Further examples of foil-shaped materials
20 are papers such as silk paper having a weight per unit area of 20 to 30g/m² or highly whitened paper with a weight per unit area of 40 to 60 g/m², cardboard, semi-cardboard or the like. Particularly important are films containing plastic e.g. plastics based on polyolefins, such as polyethylenes or polypropylenes, polyamides, polyvinylchloride, polyesters such as poly-alkylene-terephthalates and in particular polyethylene-terephthalate. The films
25 containing plastic may be in the form of mono-films of plastic, laminates of two or more plastic films, laminates of metal and plastic films, laminates of papers and plastic films or laminates of papers and metal and plastic films. The individual plastic films may have a thickness e.g. of 12 to 200 µm and the metal foils a thickness of 12 to 100 µm. The individual layers of foil-shaped materials may be joined together by means of adhesives,
30 laminating adhesives, bonding agents and/or extrusion coating, co-extrusion or laminating etc. Preferred plastic films are non-oriented or axially or biaxially oriented mono-films or laminates of two or more non-oriented or axially or biaxially oriented films of plastics based on polyolefins such as polyethylenes or polypropylenes, polyamides, polyvinylchloride, polyesters such as polyalkylene-terephthalates and in particular polyethylene-terephthalate.

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The above mentioned packaging materials may form the objects according to the invention in the form of packaging materials or aids to packaging. For example pouches, sachets, wraps, bags etc, may be manufactured out of the film-shaped packaging materials by stamping and sealing. By deep drawing and/or stretch drawing, films may be shaped into shaped
 5 packaging or shaped bodies such as bases of push-through packs or blister packs, or into wide-necked containers, menu dishes, goblets, beakers etc. For example tubes (tube laminates) or lids for shaped packaging may be shaped out of the films in question. One may manufacture e.g. boxes such as collapsible boxes from cardboard type substrates. It is also possible to employ e.g. bottles blown out of plastics, or pre-formed packaging as a
 10 substrate and to apply the transparent material according to the invention to it. Closures, openings, seams, seams between a base part and the related lid etc. may be provided with a packaging material according to the invention in the form of a label, sealing strip, band, guarantee seal or outer seal. These last mentioned aids to packaging are as a rule film-shaped and are fixed to the container in question across an opening and fixed, e.g.
 15 adhesively bonded, welded, flanged or shrunk-fit onto the neighbouring part of the container. The packaging aid exhibits the transparent layer of material according to the invention and the images spaced apart by this. The structure according to the invention of the surface or area of surface on the object results in the specific intended optical effect on changing the angle of viewing. A counterfeit e.g. by photo-copying and using the photocopy
 20 as evidence of product origin or guarantee would be recognised immediately and easily, as changing the angle of viewing would not lead to the optical effect that is observed with the structure made according to the invention. In order that the aid to packaging e.g. sealing strip or band can be easily broken by the user, aids to tearing such as forms of weakening, notches or tear-off tabs may be provided. Also, component parts of packaging materials or
 25 aids to packaging may be employed in the form of easily torn or push-through plastic films, or plastic films containing fillers, or two poorly compatible plastic films.

Apart from the already mentioned use of the object according to the invention in the form of packaging or aid to packaging, another field of application is the counterfeit-proof
 30 manufacture of bonds, entry tickets and the like documents, whereby in addition to the counterfeit-proof design aspects it is possible to introduce special decorative effects.

Further advantages features and details of the invention are revealed in the following description of exemplified embodiments and with the aid of the drawing which shows
 35 schematically in :

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- Fig. 1 the principle of three dimensional moiré interference, illustrated at a section through an arrangement of images according to the invention;
- Fig. 2 to 7 section through examples of films with images arranged according to the invention;
- Fig. 8 a plan view of two superimposed images with image elements arranged displaced with respect to each other.

10 Figure 1 shows a layer of transparent material 10 with images 12, 14 on both sides a distance d apart and forming a pattern of lines made up of individual lines 16. The distance a between the individual lines 16 is, in the present example, always the same and corresponds to the resolution. It can be readily seen from the figure that the observer viewing from the angle of observation $\alpha = 0^\circ$ (A) sees the image in the original form. When 15 viewing at a certain angle α_0 (B), the observer sees a black area F. At an angle α between α_0 and 0° , the observer sees an image with progressively increasing or decreasing width of individual lines 16. To achieve the three dimensional moiré effect, the limiting angle α is decisive; if it is too large, then large changes in viewing angle are necessary to observe the moiré interference i.e. the effect is difficult to recognise. The optimum size for the limiting 20 angle α_0 is e.g. 20° . The limiting angle α_0 is directly related to the distance d between the two images 12, 14 and to the resolution a as given by the mathematical relationship:

$$a = d \cdot \tan \alpha_0$$

25 As the thickness of the transparent layer 10 of plastic film or films employed is usually between $7 \mu\text{m}$ and $200 \mu\text{m}$, the result is a very fine and therefore counterfeit-proof resolution a . On the basis of the mathematical relation between the distance d between both images 12, 14, the thickness of the layer of transparent material 10 and the distance a between the individual lines 16, the optimum resolution can be readily calculated for a given 30 layer structure.

Figures 2 to 7 show examples of arrangements of images. As shown in figure 2, a transparent plastic film 10 has images 12, 14 printed on both sides.

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Figure 3 shows a transparent plastic film 10 made up of two film layers 10a, b. The images 12, 14 have been printed on the outer faces of the film layers 10a, b. Such an arrangement can be manufactured such that first both film layers 10a, b have their respective image 12, 14 printed on them, then the printed films joined together by laminating.

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Figure 4 shows an aluminium foil 18 on which an image 12 has been printed. This printed aluminium foil 18 is laminated onto a transparent plastic film 10 bearing a printed image 14.

As shown in figure 5 a transparent plastic film 10 has been laminated between two transparent plastic films 10a, b each bearing an image 12, 14.

In the example shown in figure 6 a transparent plastic film has been laminate bonded onto an aluminium foil 20 with a highly reflective surface 21.

15 In this case only the transparent plastic film 10 bears a printed image 12. The three dimensional image is created from the image 12 and its mirrored image produced by the highly reflective surface 21.

The arrangement shown in figure 7 is suitable for observing in light passing through the 20 object in question. It corresponds to the version shown in figure 2 with additional outer layers 22, 24 in the form of transparent plastic films laminated onto the layer of material 10.

Figure 8 shows the overlapping of two images in the form of an identical basic matrix 26a, b with individual elements (pixels) in the form of strokes 28. The two superimposed basic 25 matrices 26a, b differ solely in that the individual strokes 28b of matrix 26b are rotated by an angle β of $0^\circ + 15^\circ, +30^\circ$ and 90° with respect to the strokes 28a of matrix 26a. As a result of rotating the individual image elements by different degrees, additional bright-dark contrast effects are produced on altering the angle of observation, this in addition to the already present effect of changing the optical perception of the overall picture arising from 30 over-lapping the image. On examining figure 8 it can be seen that increasing the angle β between the strokes 28a, b from 0° to 90° leads continuously to darker strokes. Using suitable soft-ware it is possible to generate or code images with different levels of greyness. The matrix 26a at an angle $\beta = 0^\circ$ comprises an arrangement of individual strokes 26a the angles of which relative to the matrix have been generated at random.

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The overlapping of the basic matrix with image elements displaced with respect to each other can be created very locally, this separately from a larger basic pattern. This enables subordinate images to be created within a main image or pattern.

- 5 In practice the image is created e.g. by a first printed image made up of image elements that are randomly arranged but with their direction recorded in a matrix. To create a picture the image elements in a superimposed pattern are displaced with respect to each other by a certain amount, the extent of which depends on the desired grey tone. In areas of the image which should be as bright as possible, the image elements are not displaced with respect to
- 10 each other, whereas in areas which should be as dark as possible the image elements are displaced to a maximum. As both printed patterns are present as images set a distance apart from each other, the image created can be observed only at a defined angle of observation α . If image patterns made up of coloured image elements are employed, it is also possible to create different colours instead of grey tones.

15

- The invention is not limited to the exemplified embodiments shown here, but relates rather to all objects having a layer structure according to the invention in at least one area of its surface. In particular, further films or foils can supplement the structure to give a packaging material, or the layer structure according to the invention can be applied directly to a
- 20 product.

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Claims:

1. Object with a surface region that generates an optical effect with a view to increasing proof against counterfeit,
5 characterised in that,
the surface region exhibiting the optical effect exhibits at least two image-patterns (12, 14) separated a fixed distance (d) from each other by means of a transparent layer of material (10) and, on altering the viewing angle (α), the perceived overall image formed by the overlapping of the images (12, 14) is altered, and the distance (d) between the images (12, 14) defined by a thickness of the material layer (10) lies in the range of 7 to 200 μm , and the distance (a) between two neighbouring image elements (16) forming the images (12, 14) are adjusted with respect to each other such that the images formed exhibit moiré interference effects.
10
15
2. Object according to claim 1, characterised in that the layer of transparent material (10) is provided with an image (12, 14) on each side.
- 20 3. Object according to claim 1, characterised in that the layer of transparent material (10) is made up of at least two partial layers (10a, b) and the images (12, 14) are situated on different partial layers (10a, b).
- 25 4. Object according to claim 1, characterised in that one of the images (12) is situated on an opaque foil, in particular on an aluminium foil (18).
5. Object according to claim 1, characterised in that the layer of transparent material (10) neighbours on one side a reflecting layer, and a second image is a mirror image of a first image (12).
30
6. Object according to claim 5, characterised in that the reflecting layer is an aluminium foil (20) with a highly reflective surface (21).
- 35 7. Object according to one of the claims 1 to 6, characterised in that the layer of transparent material (10) is reflective with the result that within the angle of reflection a

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reflected image is seen and outside the angle of reflection moiré interference is observed.

- 5 8. Object according to one of the claims 1 to 7, characterised in that at least one image (12, 14) is deposited as a colour-printed image.
9. Object according to claim 8, characterised in that at least one image (12, 14) is a colour-printed image that can be observed only under ultraviolet or infra red light.
- 10 10. Object according to one of the claims 1 to 9, characterised in that at least one image (12, 14) is in the form of a surface structure with parts of the structure at different heights.
11. Object according to claim 10, characterised in that at least one image (12, 14) is deposited as an embossed or etched pattern.
- 15 12. Object according to claim 10 or 11, characterised in that at least one image (12, 14) is formed by hot or cold embossing.
- 20 13. Object according to one of the claims 1 to 12, characterised in that the images (12, 14) are deposited as micro-perforation on an opaque foil, in particular an aluminium foil.
- 25 14. Object according to claim 13, characterised in that the diameter or the width of the perforations is approximately 10 to 1000 μm , preferably 50 to 200 μm .
- 30 15. Object according to one of the claims 1 to 14, characterised in that regions of one image (14) are displaced with respect to the other image (12), in particular by half of the distance (a) between neighbouring image elements (16).
- 35 16. Object according to one of the claims 1 to 15, characterised in that the image (12, 14) of the basic image (26a, b) is made up of individual elements (28a, b) such that interrelated elements of different basic patterns are arranged displace with respect to each other in order to create locally different bright-dark contrast or colours.

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17. Object according to claim 16, characterised in that the image elements (28a, b) form patterns which are a result of defined light-dark contrast or colours due to different combinations of overlapping.
- 5 18. Object according to one of the claims 1 to 17, characterised in that the image-patterns (12, 14) are arranged such that at least a part of the optical effect is visible only after laying on top a filter with a grid pattern the dimensions of which are chosen with respect to the image patterns (12, 14).
- 10 19. Process for manufacturing an object according to claim 1, characterised in that the image-patterns (12, 14) are deposited on different partial layers (10a,b) and the partial layers (10a,b) are joined together to a single layer (10) by laminate adhesion.
- 15 20. Process for manufacturing an object according to claim 1, characterised in that a plastic film (10) has the image patterns (12, 14) printed onto both sides.
- 20 21. Use of an object according to one of the claims 1 to 18 in the form of packaging, packaging material, packaging aid, bond, ticket for admission or the like document with counterfeit-proof and/or optically appealing surface region.

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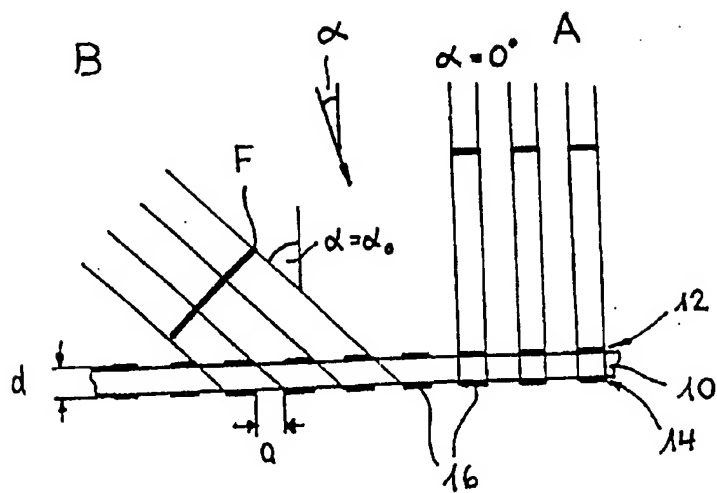


Fig.1

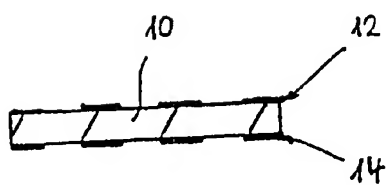


Fig.2

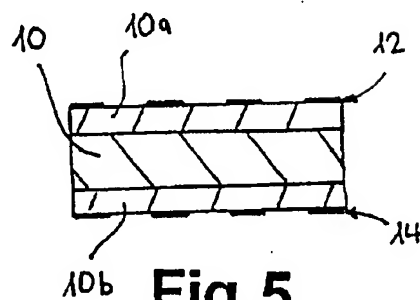


Fig.5

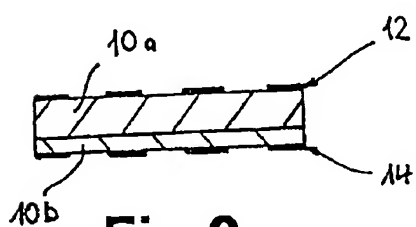


Fig.3

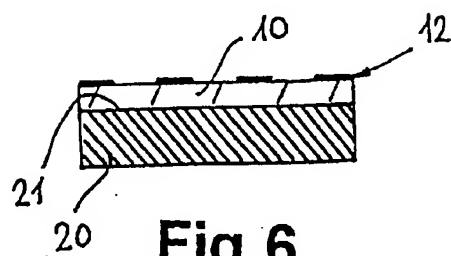


Fig.6

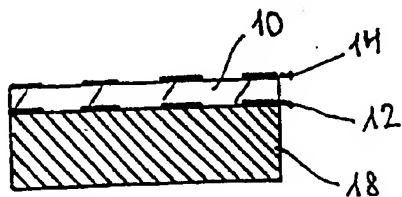


Fig.4

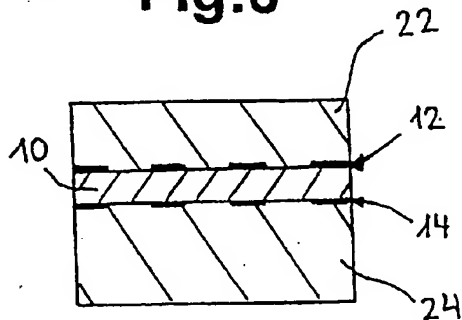


Fig.7

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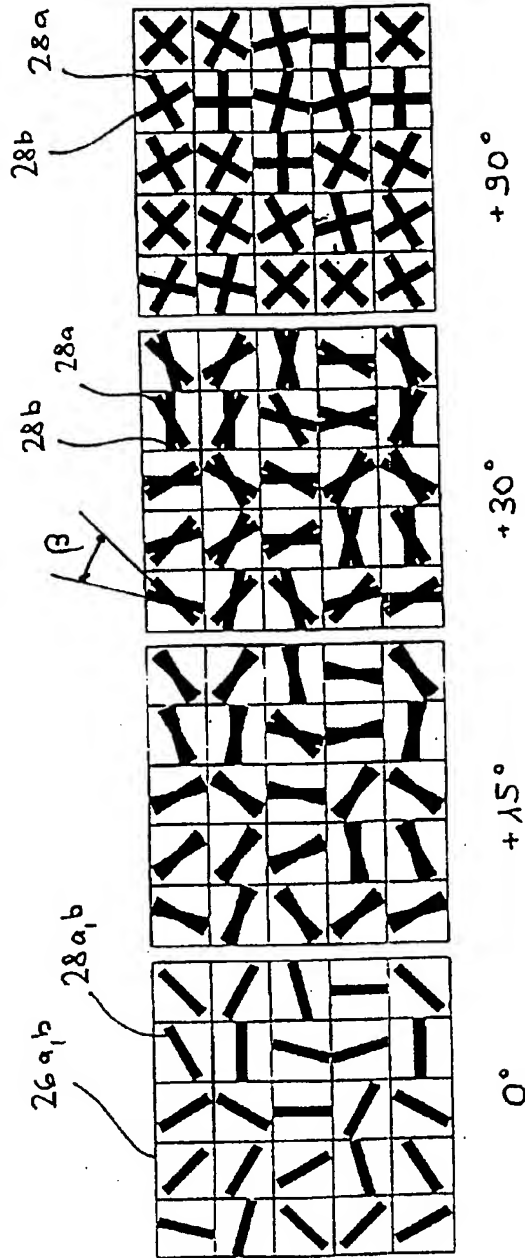


Fig.8